



ITW

PTO/SB/21 (09-04)

Approved for use through 07/31/2006. OMB 0651-0031

U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE

Under the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number.

<b>TRANSMITTAL FORM</b>  (to be used for all correspondence after initial filing)	Application Number	10/551,687	
	Filing Date	August 7, 2006	
	First Named Inventor	Robert MOSZNER et al.	
	Art Unit	3731	
	Examiner Name	Glenn DAWSON	
Total Number of Pages in This Submission		Attorney Docket Number	71547.0002

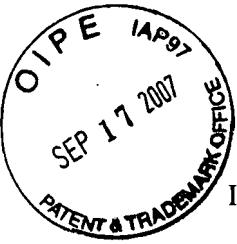
ENCLOSURES (Check all that apply)		
<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
<input type="checkbox"/> Fee Attached	<input type="checkbox"/> Licensing-related Papers	<input type="checkbox"/> Appeal Communication to Board of Appeals and Interferences
<input type="checkbox"/> Amendment/Reply	<input type="checkbox"/> Petition	<input type="checkbox"/> Appeal Communication to TC (Appeal Notice, Brief, Reply Brief)
<input type="checkbox"/> After Final	<input type="checkbox"/> Petition to Convert to a Provisional Application	<input type="checkbox"/> Proprietary Information
<input type="checkbox"/> Affidavits/declaration(s)	<input type="checkbox"/> Power of Attorney, Revocation	<input type="checkbox"/> Status Letter
<input type="checkbox"/> Extension of Time Request	<input type="checkbox"/> Change of Correspondence Address	<input checked="" type="checkbox"/> Other Enclosure(s) (please identify below):
<input type="checkbox"/> Express Abandonment Request	<input type="checkbox"/> Terminal Disclaimer	Request for Corrected Official Filing Receipt
<input type="checkbox"/> Information Disclosure Statement	<input type="checkbox"/> Request for Refund	
<input type="checkbox"/> Certified Copy of Priority Document(s)	<input type="checkbox"/> CD, Number of CD(s) _____	
<input type="checkbox"/> Reply to Missing Parts/Incomplete Application	<input type="checkbox"/> Landscape Table on CD	
<input type="checkbox"/> Reply to Missing Parts under 37 CFR 1.52 or 1.53	Remarks	

SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT			
Firm Name	AKERMAN SENTERFITT		
Signature	<i>Jean C. Edwards</i>		
Printed name	JEAN C. EDWARDS		
Date	September 17, 2007	Reg. No.	41,728

CERTIFICATE OF TRANSMISSION/MAILING			
I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below:			
Signature			
Typed or printed name		Date	

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

If you need assistance in completing the form, call 1-800-PTO-9199 and select option 2.



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

In re Application of:

Robert MOSZNER et al.

Group Art Unit: 3731

Application No.: 10/551,687

Examiner: Glenn DAWSON

Filed: August 7, 2006

Atty Dkt No: 71547.0002

Confirmation No.: 5938

Customer No.: 57362

For: OCCLUSION DEVICE AND METHOD FOR ITS PRODUCTION

**REQUEST FOR CORRECTED OFFICIAL FILING RECEIPT**

United States Patent and Trademark Office  
Mail Stop: Application Division  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sirs:

We are in receipt of the Corrected Official Filing Receipt dated September 12, 2007, for the above-identified patent application. The following error is noted on the Official Filing Receipt:

The Title's name as listed on page 2 of the Corrected Official Filing Receipt is incorrect as shown in the attached Corrected Official Filing Receipt dated September 17, 2007.

Please correct the Title to read as follows:

From "OCCLUSION DEVICE AND METHOD OF FOR ITS  
PRODUCTION" To --OCCLUSION DEVICE AND METHOD FOR ITS PRODUCTION--.

Request for Corrected Official Filing Receipt  
U.S. Patent Application No.: 10/551,687

Attorney Docket No. 71547.0002  
Customer No. 57362

The requested changes are shown on the attached copy of the Corrected Official Filing Receipt in red ink. It is respectfully requested that an Updated Filing Receipt be issued. Since this is a U.S. Patent and Trademark Office error, no fee is believed to be due. However, if any fee is charged, please charge such fee to Deposit Account No. 50-0951.

Respectfully submitted,

Jean C. Edwards  
Jean C. Edwards, Esq.  
Registration No. 41,728

**AKERMAN SENTERFITT**

801 Pennsylvania Avenue, Suite 600  
Washington, D.C. 20004  
202/824-1719 – direct  
202/824-1791 – fax

**Date: September 17, 2007**



## UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE  
United States Patent and Trademark Office  
Address: COMMISSIONER FOR PATENTS  
P.O. Box 1450  
Alexandria, Virginia 22313-1450  
www.uspto.gov

APPL NO.	FILING OR 371(c) DATE	ART UNIT	FIL FEE REC'D	ATTY. DOCKET NO	TOT CLMS	IND CLMS
10/551,687	08/07/2006	3731	515	71547.00002	14	1

CONFIRMATION NO. 5938

CORRECTED FILING RECEIPT



\*OC000000025789560\*

57362  
AKERMAN SENTERFITT  
801 PENNSYLVANIA AVENUE N.W.  
SUITE 600  
WASHINGTON, DC 20004

Date Mailed: 09/12/2007

Receipt is acknowledged of this nonprovisional patent application. The application will be taken up for examination in due course. Applicant will be notified as to the results of the examination. Any correspondence concerning the application must include the following identification information: the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. **If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Filing Receipt Corrections. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).**

**Applicant(s)**

Robert Moszner, Bad Klosterlausnitz, GERMANY;  
Hans Reiner Figulla, Jena, GERMANY;  
Friedrich Moszner, Hohlstedt, GERMANY;  
Rudiger Ottma, Grossschwabhausen, GERMANY;

**Assignment For Published Patent Application**

Occlutech GmbH, Jena, GERMANY

SEP 13 2007

**Power of Attorney:** The patent practitioners associated with Customer Number 57362.

**Domestic Priority data as claimed by applicant**

This application is a 371 of PCT/EP04/09279 08/18/2004

RECEIVED

**Foreign Applications**

GERMANY 103 38 702.1 08/22/2003

SEP 13 2007

**If Required, Foreign Filing License Granted:** 11/14/2006

The country code and number of your priority application, to be used for filing abroad under the Paris Convention, is **US10/551,687**

**Projected Publication Date:** Not Applicable

Non-Publication Request: No

Early Publication Request: No

**\*\* SMALL ENTITY \*\***

Title

Occlusion device and method of for its production

"Occlusion device and method for its production"

Preliminary Class

623

## PROTECTING YOUR INVENTION OUTSIDE THE UNITED STATES

Since the rights granted by a U.S. patent extend only throughout the territory of the United States and have no effect in a foreign country, an inventor who wishes patent protection in another country must apply for a patent in a specific country or in regional patent offices. Applicants may wish to consider the filing of an international application under the Patent Cooperation Treaty (PCT). An international (PCT) application generally has the same effect as a regular national patent application in each PCT-member country. The PCT process **simplifies** the filing of patent applications on the same invention in member countries, but **does not result** in a grant of "an international patent" and does not eliminate the need of applicants to file additional documents and fees in countries where patent protection is desired.

Almost every country has its own patent law, and a person desiring a patent in a particular country must make an application for patent in that country in accordance with its particular laws. Since the laws of many countries differ in various respects from the patent law of the United States, applicants are advised to seek guidance from specific foreign countries to ensure that patent rights are not lost prematurely.

Applicants also are advised that in the case of inventions made in the United States, the Director of the USPTO must issue a license before applicants can apply for a patent in a foreign country. The filing of a U.S. patent application serves as a request for a foreign filing license. The application's filing receipt contains further information and guidance as to the status of applicant's license for foreign filing.

Applicants may wish to consult the USPTO booklet, "General Information Concerning Patents" (specifically, the section entitled "Treaties and Foreign Patents") for more information on timeframes and deadlines for filing foreign patent applications. The guide is available either by contacting the USPTO Contact Center at 800-786-9199, or it can be viewed on the USPTO website at <http://www.uspto.gov/web/offices/pac/doc/general/index.html>.

For information on preventing theft of your intellectual property (patents, trademarks and copyrights), you may wish to consult the U.S. Government website, <http://www.stopfakes.gov>. Part of a Department of Commerce initiative, this website includes self-help "toolkits" giving innovators guidance on how to protect intellectual property in specific countries such as China, Korea and Mexico. For questions regarding patent enforcement issues, applicants may call the U.S. Government hotline at 1-866-999-HALT (1-866-999-4158).

---

### LICENSE FOR FOREIGN FILING UNDER Title 35, United States Code, Section 184 Title 37, Code of Federal Regulations, 5.11 & 5.15

#### GRANTED

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING